

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/033,715	12/28/2001	Robert W. Bower	BOW5075.04A

CONFIRMATION NO. 8633

## FORMALITIES LETTER



\*OC000000007681031\*

John P. O'Banion  
O'BANION & RICTHEY LLP  
Suite 1550  
400 Capitol Mall  
Sacramento, CA 95814

Date Mailed: 03/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1084.
  - \$216 for 12 total claims over 20.
  - \$588 for 7 independent claims over 3.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1954.**

05/03/2002 NMOHAMH1 00000070 10033715

01 FC:201	370.00 OP
02 FC:203	108.00 OP
03 FC:202	294.00 OP
04 FC:204	140.00 OP
05 FC:205	65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



PART 2 - COPY TO BE RETURNED WITH RESPONSE



05-02-02 T.D

#3 MFS \$

PATENT

Docket No.: BOW5075.04A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ROBERT W. BOWER  
Serial No.: 10/033,715  
Filed: DECEMBER 28, 2001  
For: LIGHT EMISSION FROM SEMICONDUCTOR INTEGRATED CIRCUITS  
Group:  
Examiner:

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

SUBMISSION OF MISSING PARTS OF APPLICATION  
UNDER 37 C.F.R. § 1.53

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed March 20, 2002, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Check in the amount of \$977 (\$912 filing fees and \$65 surcharge for the fees due as a small entity);

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED							
	Number filed		Number Extra		Rate		Basic Fee \$ 740.00
Total							
Claims 37 CFR 1.16(c)	32	- 20	=	12	X	\$18.00 =	216.00
Independent							
Claims (37 CFR 1.16(b))	10	- 3	=	7	X	\$84.00 =	588.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))					+	\$280.00 =	280.00

Filing Fee Calculation	\$ 1,824.00
Surcharge	\$ 130.00
<b>TOTAL FILING FEE</b>	<b>\$ 1,954.00</b>

**Small Entity Stat ment(s)**

X Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27.

**Filing Fee Calculation (50% of above)**

\$ 977.00

**Amount already paid**

LESS ( \$            )

**TOTAL FILING FEES DUE**

\$ 977.00

       Assignment recording fee

\$           

       Petition fee

\$           

**TOTAL FEES DUE**

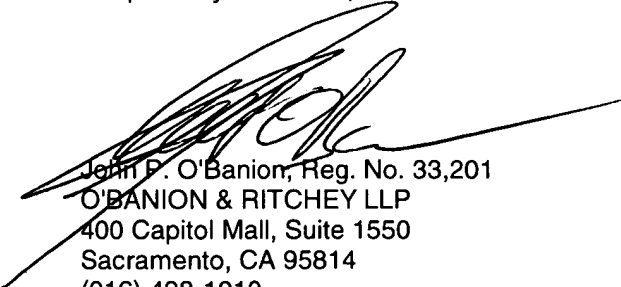
\$ 977.00

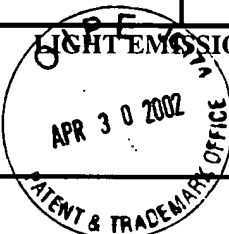
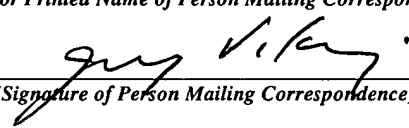
Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated: April 30, 2002.

Respectfully submitted,

  
John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			Docket No. <b>BOW5075.04A</b>
Applicant(s): <b>ROBERT W. BOWER</b>			
Serial No. <b>10/033,715</b>	Filing Date <b>December 28, 2001</b>	Examiner	Group Art Unit <b>1755</b>
Invention: <b>LIGHT EMISSION FROM SEMICONDUCTOR INTEGRATED CIRCUITS</b>			
<div></div>			
I hereby certify that the following correspondence:			
<div>Submission of missing parts of application (Page 1 &amp; 2)</div>			
(Identify type of correspondence)			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on			
<div>April 30, 2002</div> <div>(Date)</div>			
<div>Jerry V. King</div> <div>(Typed or Printed Name of Person Mailing Correspondence)</div>			
<div></div> <div>(Signature of Person Mailing Correspondence)</div>			
<div>EL694788387US</div> <div>("Express Mail" Mailing Label Number)</div>			
Note: Each paper must have its own certificate of mailing.			

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **ROBERT W. BOWER**

Docket No.

**BOW5075.04A**

Serial No.

**10/033,715**

Filing Date

**December 28, 2001**

Examiner

Group Art Unit

**1755**

Invention:

**LIGHT EMISSION FROM SEMICONDUCTOR INTEGRATED CIRCUITS****APR 30 2002****PATENT & TRADEMARK OFFICE**

I hereby certify that the following correspondence:

**Notice to file missing parts of nonprovisional application (Page 1 & 2)***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

**April 30, 2002***(Date)***Jerry V. King***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EL694788387US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

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Serial No.

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Filing Date

**December 28, 2001**

Examiner

Group Art Unit

**1755**Invention: **LIGHT EMISSION FROM SEMICONDUCTOR INTEGRATED CIRCUITS****APR 30 2002****PATENT & TRADEMARK OFFICE**

I hereby certify that the following correspondence:

**Combined declaration and power of attorney (Page 1 thru 5)***(Identify type of correspondence)*

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